



First Capital

# FIRST CAPITAL GROUP

## NON-SRI LANKAN / DUAL CITIZEN CLIENT INFORMATION FORM

Name of the Individual / Organisation as per the registration document - \_\_\_\_\_

Tax identification number (TIN):

Are you registered under Foreign Account Tax Compliance Act (FATCA)?  YES  NO

If yes, please specify below;

GIIN:

EIN:

I/We hereby confirm that the information provided above is true, accurate and complete. I/We hereby request First Capital\*\* (the Group) to share my/our information with overseas regulations and/or authorities where necessary. Where required by such overseas regulators and/or authorities, I/We request, consent and agree that the Group may withhold and pay out from my/our account/s such amounts as may require in terms of applicable laws, regulations, directives and/or agreements with regulators, and/or authorities of such jurisdictions from time to time. I/We agree and undertake to notify the Group in writing within thirty (30) calendar days if there is a change in any information I/We have provided to the Group.

.....  
Signature

.....  
Signature

Date: .....

- \*- A citizen of a foreign country (including an individual born in Sri Lanka but resident in another country who has not renounced Sri Lankan citizenship)
- A lawful citizen of a foreign country. (e.g. U.S. green card holder)
- A person residing in a foreign country.
- A person who spends certain number of days in a foreign country each year
- Foreign corporations, foreign estates and foreign trusts
- Any entity that has a linkage or ownership to a foreign country.
- Sri Lankan entities that have at least one foreign person as a 'Substantial Beneficial Owner' (at least 10% ownership of the stated capital).

\*\* The Group includes; First Capital Holdings PLC, First Capital Treasuries PLC, First Capital Ltd, First Capital Markets Ltd, First Capital Asset Management Ltd and First Capital Equities Ltd